

29 September 2015

Audit, Scrutiny and Transformation Committee

Internal Audit Progress Report

Report of: *Greg Rubins – Head of Internal Audit*

Wards Affected: *All*

This report is: *This report is public*

1. Executive Summary

- 1.1 This report details the progress to date against the 2015/16 internal audit plan that was agreed with in the Audit and Scrutiny Committee in March 2015.
- 1.2 The report also includes an update on the progress of the implementation of the recommendations raised in 2013/14 and 2014/15.
- 1.3 The following reports received limited assurance and therefore are included as full reports as supplementary papers to this progress report:
- Payroll (from 2014/15)
 - IT Transformation Programme (from 2014/15)
 - Accounts Payable

A Counter Fraud Risk Assessment has also been completed and the full report has also been included as a supplementary paper for your information.

2. Recommendation(s)

- 2.1 To receive and note the contents of the reports.**

3. Introduction and Background

- 3.1 The 2014/15 audit plan is now complete.

3.2 The Audit and Scrutiny Committee approved the 2015/16 annual audit plan on 9 March 2015. The progress against this plan is reported at ever Audit, Scrutiny and Transformation Committee.

4. Issue, Options and Analysis of Options

4.1 Not applicable.

5. Reasons for Recommendation

5.1 To monitor the progress of work against the internal audit plan.

6. Consultation

6.1 Not applicable.

7. References to Corporate Plan

7.1 Good financial management underpins all priorities within the Corporate Plan.

8. Implications

Financial Implications

Name & Title: Chris Leslie, Finance Director

Tel & Email: 01277 312542 / christopher.leslie@brentwood.gov.uk

8.1 There are no direct financial implications arising from this report.

Legal Implications

Name & Title: Chris Potter, Monitoring Officer

Tel & Email: 01277 312860 / christopher.potter@brentwood.gov.uk

8.2 There are no direct legal implications arising from this report

9. Appendices to this report

Appendix A – Internal Audit Progress Report

Appendix B – Payroll

Appendix C – IT Transformation Programme

Appendix D – Accounts Payable

Appendix E – Counter Fraud Risk Assessment

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